

SIPC Information Disclosure

GigaTrade Brokerage, LLC is a member of the Securities Investor Protection Corporation ("SIPC"). SIPC protects customers of its members in the event a member firm fails financially. SIPC protection is limited to \$500,000 per customer, including a maximum of \$250,000 for claims for cash.

SIPC protection does not protect against losses resulting from market fluctuations. An explanatory brochure describing SIPC and its coverage is available upon request or at www.sipc.org. Customers may also contact SIPC at:

Securities Investor Protection Corporation
1667 K Street, N.W., Suite 1000
Washington, D.C. 20006
Telephone: (202) 371-8300

For additional information about SIPC, including the SIPC brochure, please visit www.sipc.org.

Investor Education and Protection Disclosure

FINRA BrokerCheck is a free tool available to investors that provides information about broker-dealers, investment adviser firms, and financial professionals.

You may obtain information about our firm (GigaTrade Brokerage, LLC) and our registered representatives through FINRA BrokerCheck at:

Website: <https://brokercheck.finra.org>
Telephone: (800) 289-9999

A brochure describing FINRA BrokerCheck is also available through the FINRA website.

SEC Rule 606

SEC Rule 606 requires broker-dealers that route customer orders in NMS stocks and NMS securities that are options contracts to make publicly available quarterly reports that identify the venues to which client orders are routed for execution. RQD*'s Rule 606 report is available at – <https://rqdclearing.com/other-disclosures/>

You may contact RQD* for the identity of the venue to where your orders were routed for execution in the (6) six months prior to your request, whether the orders were directed orders or non-directed orders, and the time of the transaction, if any that resulted from such orders by sending an email to the RQD* Team at compliance@RQDclearing.com.

Characteristics and Risks of Standardized Options

Prior to buying or selling an option, investors must read a copy of the *Characteristics and Risks of Standardized Options*, also known as the options disclosure document (ODD). It explains the characteristics and risks of exchange traded options.

<https://www.theocc.com/getcontentasset/a151a9ae-d784-4a15-bdeb-23a029f50b70/dfc3d011-8f63-43f6-9ed8-4b444333a1d0/riskstoc.pdf>